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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Minano et al.

Serial No.: 10/772,088

Filed: 2/3/2004

Title: ETENDUE-SQUEEZING
ILLUMINATION OPTICS

Attorney Docket No.: 3084.021

Group Art Unit:

2621

Examiner:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

- under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- under 37 CFR 1.97(c) together with either a:
 Statement under 37 CFR 1.97(e), or
 a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- under 37 CFR 1.97(d) together with a:
 Statement under 37 CFR 1.97(e), and
 a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Applicant(s) submit herewith Form PTO/SB/08A Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The items listed on PTO/SB/08A are either in the English language or an English translation is provided, therefore, no further explanation is required, with the exception of the following non-English reference. A concise explanation, as presently understood, is as follows:

ES 2142752 appears to describe an axisymmetrical aspherical lens for coupling four normal congruencies of rays, two by two. It is composed by two focusing asphericals without revolution symmetry that transform two normal congruencies of rays.

Applicant submits that the above references taken alone or in combination neither anticipates nor renders obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

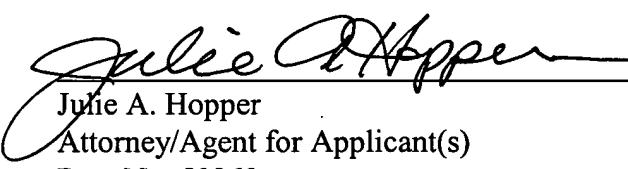
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Date of Deposit: Oct 25, 2004

Typed Name: Julie A. Hopper

Signature: 

SINSHEIMER, SCHIEBELHUT &
BAGGETT



Julie A. Hopper
Attorney/Agent for Applicant(s)
Reg. No. 50869

Date: Oct 25, 2004

Telephone No.: (805) 781-2824

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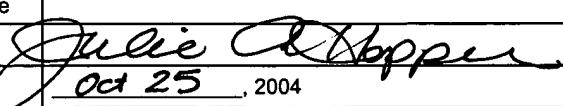
(to be used for all correspondence after initial filing)

		Application Number	10/772,088
		Filing Date	Feb 3, 2004
		First Named Inventor	Minano, Juan Carlos
		Art Unit	2621
		Examiner Name	
Total Number of Pages in This Submission	4*	Attorney Docket Number	3084.021

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)	
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences	
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)	
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information	
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter	
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):	
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<input type="checkbox"/> Certified Copy of Priority Documents(s)	<input type="checkbox"/> CD, Number of CD(s) _____		
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Remarks			
*Exclusive of copies of patent applications, foreign patent, and publications.			

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	SINSHEIMER, SCHIEBELHUT & BAGGETT Julie A. Hopper (Reg. No. 50869)	
Signature		
Date	Oct 25, 2004	

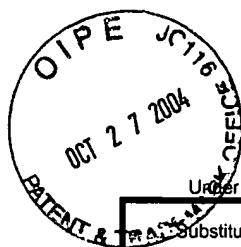
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Typed or printed name	Julie A. Hopper	
Signature		Date
	Oct 25, 2004	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449B/PTO				Complete if Known	
				<i>Application Number</i>	10/772,088
				<i>Filing Date</i>	2/3/2004
				<i>First Named Inventor</i>	Minano et al.
				<i>Art Unit</i>	2621
				<i>Examiner Name</i>	
Sheet	1	of	1	Attorney Docket Number	3084.021

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (<i>if known</i>)	MM-DD-YYYY		
		USSN 10/903,925	07-29-2004	Falicoff	
		USSN 10/901,919	07-28-2004	Benitez	
		USSN 10/880,386	06-28-2004	Benitez, et al	
		US-			

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		T ⁶
		ES 2142752	12/01/2000	Universidad Politecnica de Madrid	

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
		Benítez, P. "Chapter 6: The SMS design method in three dimensions", from <i>Conceptos avanzados de óptica anidólica: diseño y fabricación</i> , PhD dissertation, UPM, (1998).			
		Benítez, P.; Mohedano, R.; Minano, J. "Design in 3D geometry with the Simultaneous Multiple Surface design method of Nonimaging Optics" Instituto de Energía Solar, E.T.S.I. Telecommunicacion, Universidad Politécnica, 28040. Madrid, Spain. (July 1999).			
		International Search Report. PCT/US03/38240, July 26, 2004.			

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

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